

WS ON ABSTRACT ANALYSIS  
2014

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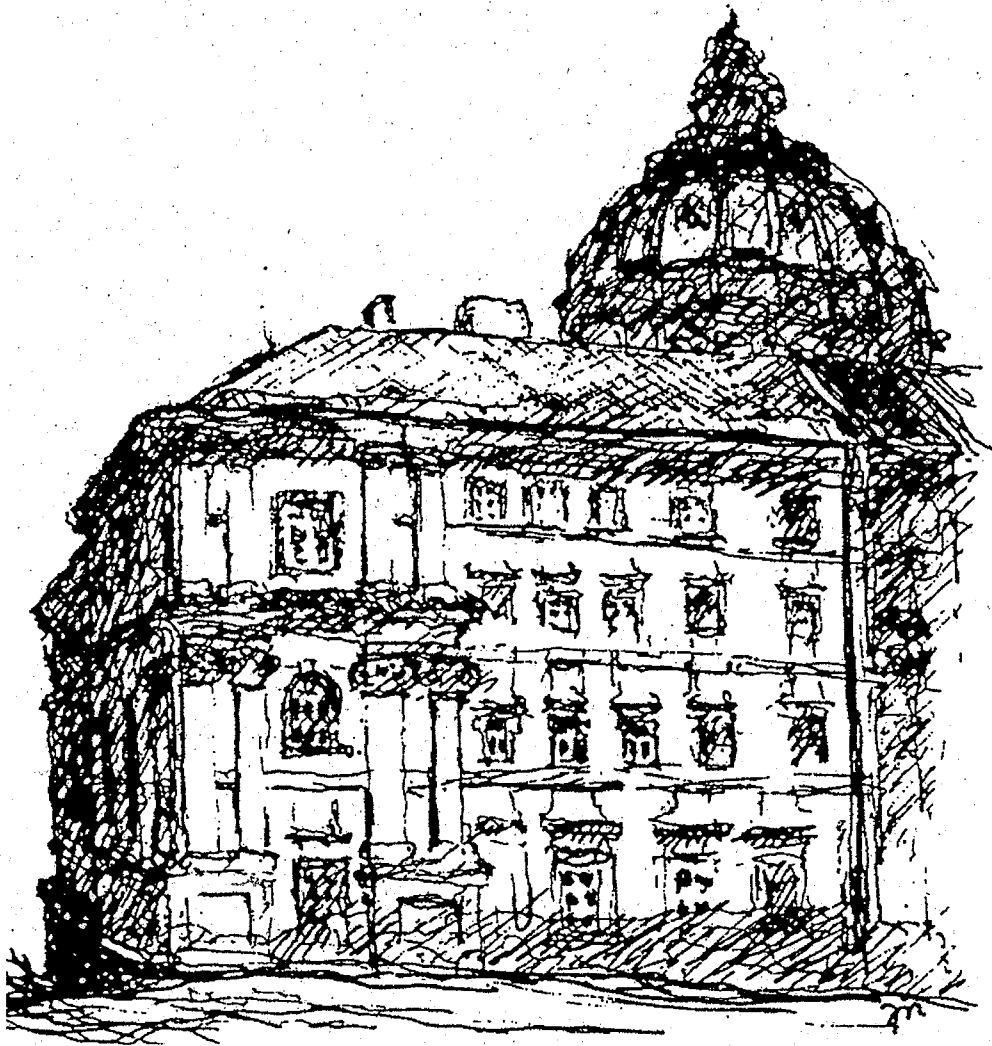
HOMOMORPHISMS, STRUCTURAL  
RAMSEY THEORY  
&  
LIMITS

JAROSLAV NESETRIL

COMPUTER SCIENCE INSTITUTE  
OF  
CHARLES UNIVERSITY  
PRAGUE

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JAN 2014



HOMOMORPHISMS

&

LOGIC

&

LIMITS



(PARTICULARLY FOR  
SPARSE STRUCTURES)

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ALL STRUCTURES IN A NOWHERE  
DENSE CLASS

— MAY BE FINITELY APPROXIMATED  
(WITH ARBITRARY PRECISION)

— SATISFY HOMOMORPHISM  
PRESERVATION  
(ROSSMAN; DAWAR; NPOM)

— RELATE TO DESCRIPTIVE  
COMPLEXITY

HOMOMORPHISM  $G \rightarrow H$  IS  
A MAPPING  $f: V(G) \rightarrow V(H)$   
SATISFYING:

$$xy \in E(G) \Rightarrow f(x)f(y) \in E(H).$$

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"EDGE PRESERVING MAPPINGS"

(NOT ONLY GRAPHS; FINITE RELATIONAL  
SYSTEMS)

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$$f: G \rightarrow H \equiv H\text{-COLOURING}$$

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$$G \rightarrow K_3 \equiv 3\text{-COLOURING}$$



$G \rightarrow$    $\equiv C_5$ -COLOURING

$G \rightarrow$    $\equiv$  PETERSEN-COLOURING

⋮

WHEN IS H-COLOURING HARD PROBLEM

THM (HELL, N. 1990)

H-COLOURING HARD



H NON-BIPARTITE

OTHER PROOFS

BULATOV  
SIGGERS  
BARTO-KOZIK  
KUN-SZEGEDY

ALL HARD

H-CRITICAL GRAPH  $G$

|||

$$G \not\rightarrow H$$

$$G' \rightarrow H$$

FOR EVERY PROPER SUBGRAPH

WHEN ARE THERE FINITELY  
MANY H-CRITICAL GRAPHS ?

|||

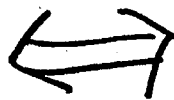
DO THERE EXIST  $F_1, \dots, F_t$   
SUCH THAT FOR EVERY  $G$

$$F_1 \rightarrow G$$

$$F_2 \rightarrow G$$

⋮

$$F_t \rightarrow G$$



$$G \rightarrow H$$



$$H = \begin{array}{c} \uparrow \\ \uparrow \end{array} = \vec{T}_3$$

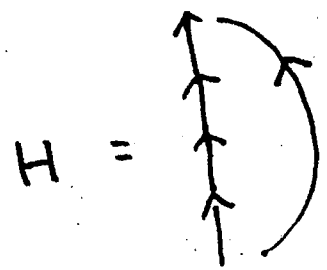
THE ONLY H-CRITICAL IS

$$\begin{array}{c} \uparrow \\ \uparrow \\ \uparrow \end{array} \quad \vec{P}_3$$

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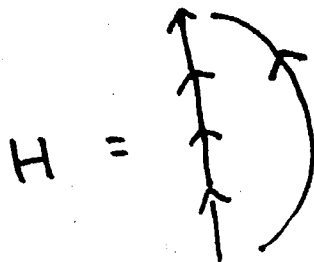

$$\vec{P}_k \rightarrow G \Leftrightarrow G \rightarrow \vec{T}_k$$

GALLAI, HASSE, ROY, VITAUER

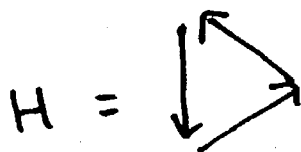


INFINITELY MANY H-CRITICAL

*[Faint handwritten text]*

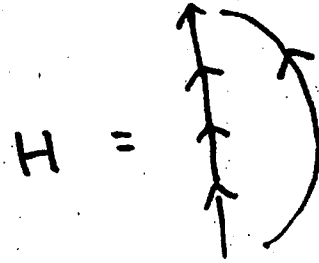


INFINITELY MANY H-CRITICAL

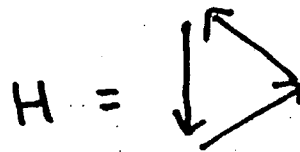


INFINITELY MANY H-CRITICAL

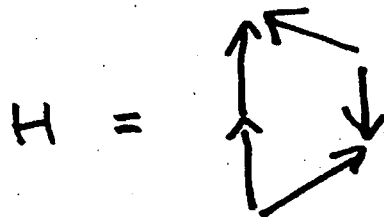
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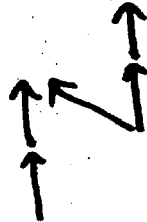
INFINITELY MANY H-CRITICAL



INFINITELY MANY H-CRITICAL



THE ONLY H-CRITICAL



KOMÁREK

# MORE FORMALISM

$$F \mapsto = \{A : F \mapsto A\}$$

FORB(F)

FORB(F)

FOR  $\mathcal{F} = \{F_1, \dots, F_t\}$ .

**THM** ANY NP PROBLEM  
POLYNOMIALLY EQUIVALENT TO  
MEMBERSHIP FORB(F') FOR  
A LIFT (EXPANSION  $\mathcal{E}'$  OF  $\mathcal{E}$ )

(KUN, N.)

**THM** ANY HOMOMORPHISM  
CLOSED FIRST ORDER DEFINABLE CLASS  
IS OF FORM  $\mathcal{F} \rightarrow$

(ROSSMAN)

# FINITE HOM-DUALITY

(N., PULTR)

$$\text{FORB}(\mathcal{F}) = \text{CSP}(D)$$

(EQUATION OF CLASSES,  
GAME OF ALTERNATIVES:

FOR EVERY  $G$  HOLDS:

$F \not\rightarrow G$  FOR ALL  $F \in \mathcal{F}$

IFF

$G \rightarrow D$

$\mathcal{F}$ ,  $F \in \mathcal{F}$  ... FORBIDDEN GRAPHS

$D$  ... DUAL

JUST EXPRESSES THE FACT  
THAT THERE ARE FINITELY MANY  
D-CRITICAL GRAPHS.

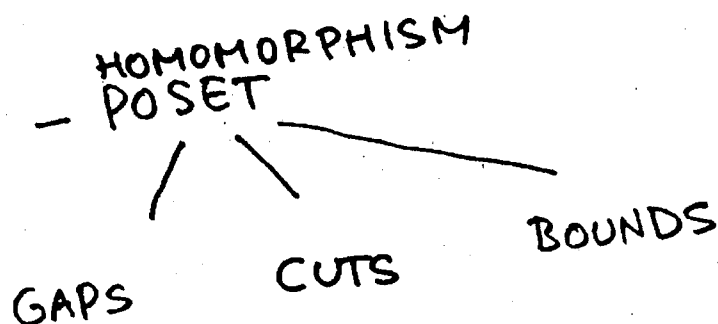
FINITE DUALITIES CHARACTERIZED

- COMBINATORICS  
( $\mathcal{F}$  A SET OF TREES)  
( $\mathcal{D}^2$  DISMANTABLE)

KOMÁREK  
N., TARDIF  
LAROSE, LOTTEN,  
TARDIF

- LOGIC  
(ONLY FO DEFINABLE CSP)

ATSERIAS  
ROSSMAN



(HEYTING POSETS)

$G \rightarrow H$  MAY BE  
VIEWED AS  
QUASIORORDER

DEFINED ON THE CLASS OF ALL  
FINITE GRAPHS



IF WE CONSIDER NON-ISOMORPHIC  
CORE GRAPHS  
THEN WE GET PARTIAL ORDER

$\mathcal{C}$

HOMOMORPHISM ORDER

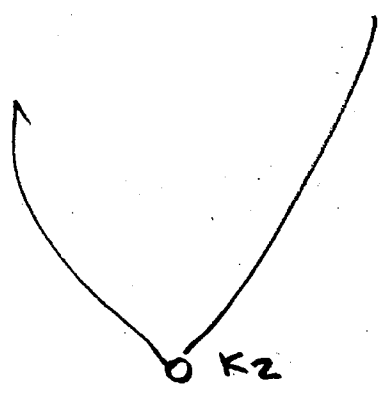


# SPECTACULAR PROPERTIES OF HOMOMORPHISM ORDER

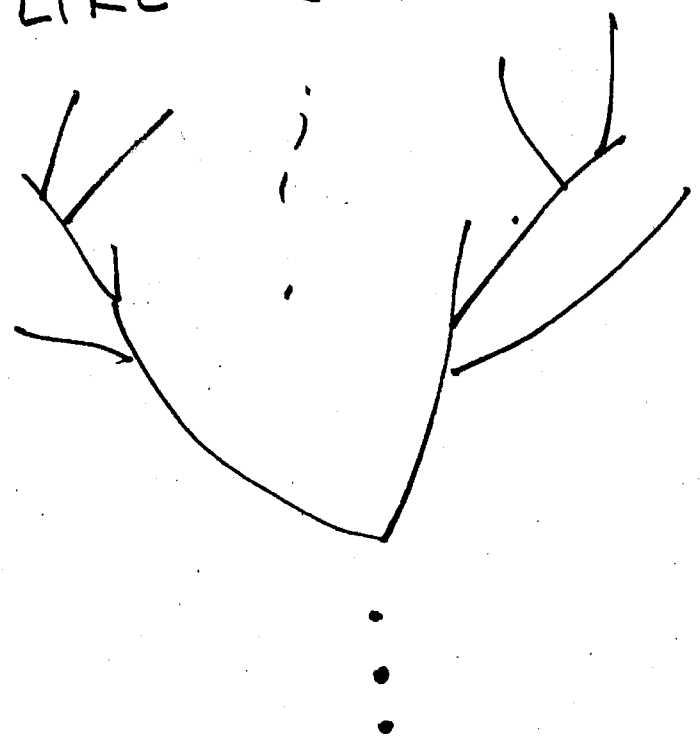
*ℓ*

- DENSE
- UNIVERSAL
- $\infty$  CONNECTED
- CACTI-LIKE

(UNDIRECTED)  
(DIRECTED)



UNDIRECTED



DIRECTED

? **RESTRICTED VERSION  
OF DUALITIES** ?

all

$\mathcal{G}$  - **RESTRICTED DUALITY**

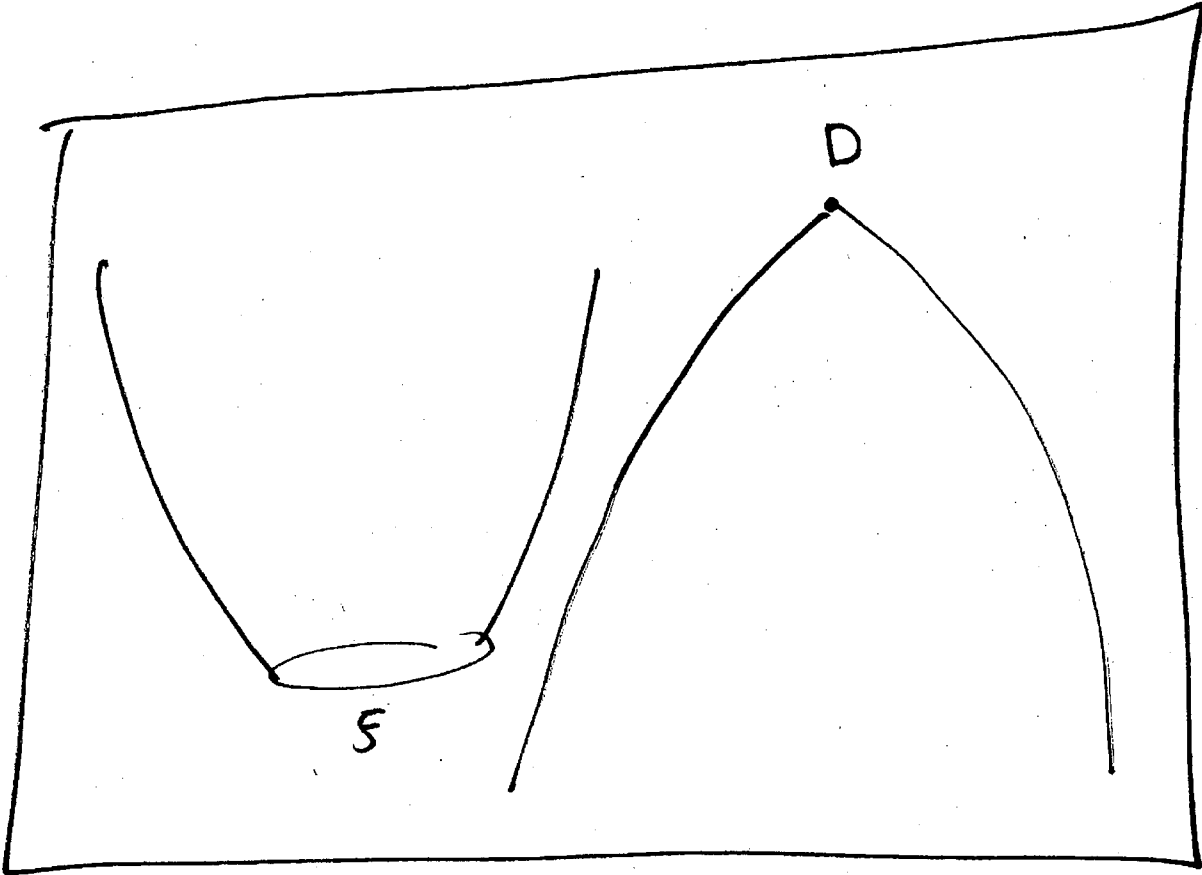
$\mathcal{G}$  A CLASS OF GRAPHS

$\mathcal{F} \subseteq \mathcal{G}$

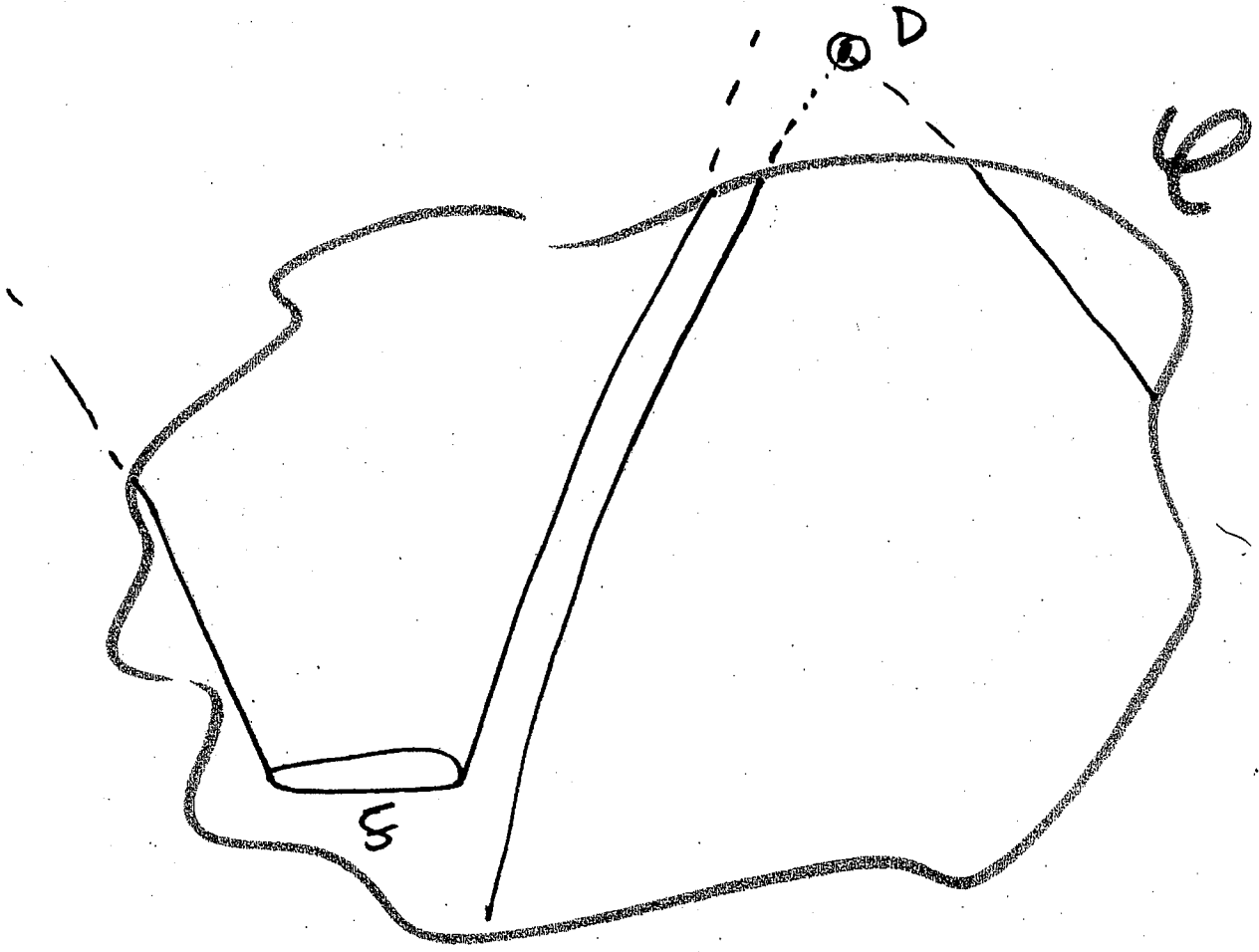
$\text{FORB}(\mathcal{F}) \cap \mathcal{G} = \text{CSP}(D) \cap \mathcal{G}$

DUALITY

$\mathcal{R}$



RESTRICTED DUALITY



$\mathcal{C}$  HAS ALL RESTRICTED DUALITIES

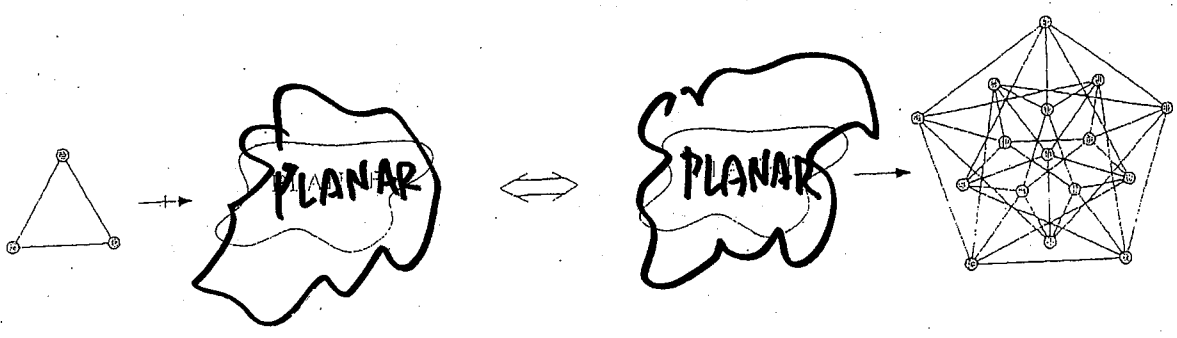
IFF

FOR EVERY FINITE SET  $S \subseteq \mathcal{C}$  <sup>CONNECTED</sup>  
 THERE EXISTS  $D_S$  SUCH THAT

$$\mathcal{C} \cap \text{FORB}(S) = \mathcal{C} \cap \text{CSP}(D_S)$$

— BOUNDED DEGREE GRAPHS HAVE  
 ALL RESTRICTED DUALITIES  
 (HAGGKVIST, HELL)

— PLANAR GRAPHS HAVE ARD  
 (N., P. OSSONA DE MENDEZ)



PLANAR - RESTRICTED  
DUALITY

WHICH CLASSES HAVE  
ARD ?

CHARACTERIZATION BY

— METRIC PROPERTIES OF HOMOMORPHISM  
ORDER

— ORIENTED AND ACYCLIC  
LIFTS

— BY SUBDIVISIONS

— BY FO DEFINABILITY

(MODULO ERDÖS-HAJNAL  
CONJECTURE)

WEAK

$$\text{dist}(A, B) = 2^{-k}$$

$$k = \min \left\{ |C| : \begin{array}{l} C \rightarrow A \ \& \ C \not\rightarrow B \\ \text{OR} \\ C \not\rightarrow A \ \& \ C \rightarrow B \end{array} \right\}$$

$$\varepsilon > 0$$

$$\phi^\varepsilon(A) = \min \left\{ |B| : \begin{array}{l} A \rightarrow B \\ \text{dist}(A, B) < \varepsilon \end{array} \right\}$$

**THM** (N., POM)

FOR A CLASS  $\mathcal{C}$

①  $\mathcal{C}$  HAS ALL RESTRICTED DUALITIES

②  $\sup_{A \in \mathcal{C}} \phi^\varepsilon(A) < \infty$

(FOR EVERY  $\varepsilon > 0$ )



dist      DEFINES       $\overline{\mathcal{R}}$       COMPLETION  
 OF THE  
 HOMOMORPHISM  
 ORDER

DUALITIES IN  $\overline{\mathcal{R}}$  CHARACTERIZED

---

$(\overline{F}, \overline{D})$  DUALITY IN  $\overline{\mathcal{R}}$



EITHER  $\overline{F}$  IS A CONNECTED  
 GRAPH

OR  $\overline{D}$  IS A MULTIPLICATIVE  
 GRAPH

REMARK

DICHOTOMY BY COUNTING

$$\frac{\log \text{hom}(F, G)}{\log |G|}$$

$$\longrightarrow \{-\infty, 0, 1, \dots, \alpha(F), |F|\}$$

CLASS LIMIT  
SUPREMA  
IN THE RESOLUTION

"THE LIMIT LOG-DENSITY"  $< |F|$ ,  
FOR ~~EVERY~~ EVERY NON-DISCRETE  $F$



$\mathcal{C}$  NOWHERE DENSE

---

COMPARE CONVERGENCE BY  
LOVASZ, SZEGEDY, SOS, ...

# E STRUCTURAL LIMITS

$\mathcal{L}$  FINITE RELATIONAL LANGUAGE  
(GRAPHS, DIGRAPHS,  $k$ -COLORED GRAPHS  
...)

$FO(\mathcal{L})$  ALL FIRST-ORDER FORMULAS

$X \subseteq FO(\mathcal{L})$  A FRAGMENT

## DEFINITION

$G_1, G_2, \dots, G_n, \dots$  IS

## X-CONVERGENT

IF FOR EVERY  $\varphi \in X$  THE SEQUENCE

$\langle \varphi, G_1 \rangle, \langle \varphi, G_2 \rangle, \dots, \langle \varphi, G_n \rangle, \dots$   
CONVERGES.

HERE:

$$\langle \varphi, G_n \rangle = \frac{|\{(v_1, \dots, v_p) \mid G_n \models \varphi(v_1, \dots, v_p)\}|}{|G_n|^p}$$

$\varphi = \varphi(x_1, \dots, x_p)$

FORMULA WITH  $p$   
FREE VARIABLES

$\mathcal{F}_0(X)$ 

BOOLEAN ALGEBRA

 $\cup$ 

BOOLEAN SUBALGEBRA

 $X$  $S(X)$ STONE SPACE OF  $X$ (ULTRAFILTERS OR  
HOMOMORPHISMS  $f: X \rightarrow \{0,1\}$ )

+ TOPOLOGY BY

$$K_X(\varphi) = \{U \mid \varphi \in U\}$$

$$= \{f \mid f(\varphi) = 1\}$$

STONE DUALITY

**THM**

$X$  SUBALGEBRA OF  $\mathcal{F}O(\mathcal{L})$ .

FOR EVERY  $X$ -CONVERGENT SEQUENCE  $(G_n)_{n \in \mathbb{N}}$  THERE EXISTS UNIQUE

PROBABILITY MEASURE  $\mu$  ON  $S(X)$

SUCH THAT FOR EVERY FORMULA

$\varphi(x_1, \dots, x_p) \in X$  HOLDS:

$$\int_{S(X)} \mathbb{1}_{K(\varphi)}(x) d\mu(x) =$$

$$= \lim_{n \rightarrow \infty} \langle \varphi, G_n \rangle$$

## SPECIAL FRAGMENTS

 $QF(\mathcal{L})$ 

QUANTIFIER FREE

 $FO_0(\mathcal{L})$ NO FREE VARIABLES  
(SENTENCES) $FO_p(\mathcal{L})$ 

p - FREE VARIABLES

 $FO^{\text{LOCAL}}(\mathcal{L})$ 

LOCAL FORMULAS

$FO_0(\mathcal{L})$  CONVERGENCE



ELEMENTARY CONVERGENCE

$(G_n)_{n \in \mathbb{N}}$  ELEMENTARY CONV. IFF FOR EVERY SENTENCE  $\varphi$

$$G_n \models \varphi$$

FOR ALL  $n \geq n_0(\varphi)$ .

QF - CONVERGENCE



L - CONVERGENCE

$(G_n)_{n \in \mathbb{N}}$  L-CONVERGENT

IFF

$\frac{\text{hom}(F, G)}{|G| |F|}$  CONVERGENT

FOR EVERY F



GRAPHS WITH  $\Delta \leq D$

BS - CONVERGENCE (BENJAMINI SCHRAMM)

III

$FO_1^{local}$  - CONVERGENCE

( $\equiv$  STATISTICS OF ISOMORPHISM TYPES OF NEIGHBORHOOD CONVERGES)

THM

$(G_n)_{n \in \mathbb{N}}$  WITH  $\Delta(G_n) \leq D$  IS  $FO$  - CONVERGENT



$(G_n)$  IS BS-CONVERGENT  $\nRightarrow$   $FO_0$  - CONVERGENT

BACK TO

SPARSE VS DENSE

DICHOTOMY

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LOVÁSZ: LIMITS FOR "INTERMEDIATE"  
CLASSES ?

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FOR  
CLASSES OF GRAPHS WITH  
BOUNDED TREE DEPTH

ONE CAN DESCRIBE LIMIT OBJECTS.



HOPE FOR GENERAL BOUNDED  
EXPANSION

VIA LOW-TREE-DEPTH  
DECOMPOSITION.

CENTRAL PROBLEM OF  
SPARSE GRAPHS

Jaroslav Nešetřil • Patrice Ossona de Mendez

# Sparsity

Graphs, Structures, and Algorithms



 Springer